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Cerebellar directed optogenetic intervention inhibits spontaneous hippocampal seizures in a mouse model of temporal lobe epilepsy

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Title:

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**Cerebellar directed optogenetic intervention inhibits spontaneous**

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**hippocampal seizures in a mouse model of temporal lobe epilepsy**

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6

Abbreviated Title:

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**Cerebellar control of temporal lobe epilepsy**

8

1 **Abstract**

2           Temporal lobe epilepsy is often medically refractory and new targets for intervention are  
3 needed. We used a mouse model of temporal lobe epilepsy, on-line seizure detection, and  
4 responsive optogenetic intervention to investigate the potential for cerebellar control of  
5 spontaneous temporal lobe seizures. Cerebellar targeted intervention inhibited spontaneous  
6 temporal lobe seizures during the chronic phase of the disorder. We further report that the  
7 direction of modulation as well as the location of intervention within the cerebellum can affect  
8 the outcome of intervention. Specifically, on-demand optogenetic excitation or inhibition of  
9 parvalbumin-expressing neurons, including Purkinje cells, in the lateral or midline cerebellum  
10 results in a decrease in seizure duration. In contrast, a consistent reduction in spontaneous  
11 seizure frequency occurs uniquely with on-demand optogenetic excitation of the midline  
12 cerebellum, and was not seen with intervention directly targeting the hippocampal formation.  
13 These findings demonstrate that the cerebellum is a powerful modulator of temporal lobe  
14 epilepsy, and that intervention targeting the cerebellum as a potential therapy for epilepsy should  
15 be revisited.

1 **Significance Statement**

2 Epilepsy is a condition of spontaneous recurrent seizures. Current treatment options for epilepsy  
3 can have major negative side effects and for many patients fail to control seizures. We detected  
4 seizures on-line and tested a new selective intervention using a mouse model of temporal lobe  
5 epilepsy. In this form of epilepsy, seizures typically arise in the hippocampus. We report that  
6 targeting intervention to a distinct brain region, the cerebellum, significantly inhibits  
7 hippocampal seizures. This identifies the cerebellum as a potential future therapeutic target for  
8 temporal lobe epilepsy.

9

## 1 **Introduction**

2 Temporal lobe epilepsy (TLE) is the most common form of epilepsy in adults. Many  
3 TLE patients that are unresponsive to anti-epileptic drugs are also not good candidates for  
4 surgical resection, leaving a large population of patients with a great and currently unaddressed  
5 need for seizure control. On-demand optogenetics (Armstrong et al., 2013) provides a powerful  
6 tool to study the potential influence of networks outside the hippocampus on temporal lobe  
7 seizures and thereby identify new targets for intervention and improve understanding of network  
8 interactions in epilepsy.

9 Although the cerebellum is not typically considered to play a role in seizure activity in  
10 distant cortical regions such as the hippocampus, a recent study indicated that the cerebellum  
11 may exert surprisingly potent effects on normal hippocampal information processing (Rocheffort  
12 et al., 2011). Given the need for new intervention targets, we asked if on-demand optogenetic  
13 intervention targeting the cerebellum could provide seizure control. Using the unilateral  
14 intrahippocampal kainate mouse model of temporal lobe epilepsy and on-line seizure detection  
15 (Armstrong et al., 2013), we found that cerebellar targeted intervention can inhibit spontaneous  
16 temporal lobe seizures during the chronic phase of the disorder, and that the direction of  
17 modulation as well as the location of intervention within the cerebellum can affect the outcome  
18 of intervention. While on-demand optogenetic excitation or inhibition of cerebellar parvalbumin  
19 (PV) expressing neurons, including Purkinje cells, results in a decrease in seizure duration, a  
20 consistent reduction in spontaneous seizure frequency occurs uniquely with on-demand  
21 optogenetic excitation of the midline cerebellum. This inhibition of seizure initiation outlasts the  
22 duration of intervention, and was not seen with intervention directly targeting the hippocampal  
23 formation.

# 1 **Materials and Methods**

## 2 **Animals**

3 All animal procedures were performed according to the regulation of the Animal Care  
4 Committee at the institution where the research was performed. Mice with restricted opsin  
5 expression were generated by crossing mice expressing Cre selectively in parvalbumin-  
6 expressing neurons (PV-Cre; B6;129P2-Pvalbtm1(Cre)Arbr/J; stock 008069 from Jackson labs  
7 (Hippenmeyer et al., 2005)) with either floxed-STOP channelrhodopsin (ChR2) mice (Ai32;  
8 Rosa-CAG-LSLChR2H134R-EYFP-deltaNeo generated by Hongkui Zeng, obtained from the  
9 Allen Institute and maintained by crossing with C57BL/6J mice (Jackson labs stock number  
10 000664); Ai32D is available from Jackson labs, stock 012569 (Madisen et al., 2012)) or floxed-  
11 STOP halorhodopsin (HR) mice (Ai39; B6;129S-Gt(ROSA)26Sortm39(CAGHOP/EYFP)Hze/J;  
12 generated by Hongkui Zeng, available from Jackson labs, stock 014539 (Madisen et al., 2012),  
13 maintained by crossing with C57BL/6J mice). These crosses generated mice expressing ChR2 or  
14 HR in PV-containing cells, including cerebellar Purkinje neurons, and are referred to as PV-  
15 ChR2 or PV-HR, respectively, in the text. Obtaining mice with opsin expression restricted to  
16 Purkinje neurons was attempted by crossing B6.129-Tg(Pcp2-cre)2Mpin/J (Jackson labs stock  
17 number 004146, a mouse line with Cre inserted into the *Pcp2* (Purkinje cell protein 2) gene) with  
18 the above Cre-dependent opsin lines. However, as discussed in the Results section, we found  
19 this line to have considerable non-specific expression. The goal of mice with truly restricted  
20 expression was instead achieved by crossing a second line (B6.Cg-Tg(Pcp2-cre)3555Jdhu/J,  
21 Jackson labs stock number 010536, referred to in the text simply as “Pcp-Cre”) with the above  
22 Cre-dependent opsin lines.

1 Male and female offspring were used for experiments. Opsin-negative littermates were  
2 used as non-expressing epileptic controls. For visualization of Cre expression, PV-Cre and Pcp-  
3 Cre mice were crossed with a tdTomato reporter strain (B6;129S6-Gt(ROSA)26Sortm9(CAG-  
4 tdTomato)Hze/J; stock 007905 from Jackson labs). For some slices, a DAPI (4',6-diamidino-2-  
5 phenylindole) mounting media (Vectashield) was used.

6

### 7 **Epilepsy induction and monitoring**

8 To obtain chronically epileptic mice which reproduce key features of human unilateral  
9 hippocampal sclerosis (Cavalheiro et al., 1982, Bragin et al., 1999, Staba et al., 2012) we used  
10 the intrahippocampal kainate mouse model of temporal lobe epilepsy, in which kainate is focally  
11 injected unilaterally into the hippocampus of adult mice. In this model, after a period of weeks,  
12 spontaneous recurrent seizures emerge, which typically originate near the sclerotic region in the  
13 hippocampal formation (Bragin et al., 1999, Haussler et al., 2012). On or after postnatal day 46,  
14 kainic acid (50-100nL, 20mM in saline, Tocris Bioscience) was stereotaxically injected into the  
15 left dorsal hippocampus (2.0mm posterior, 1.25mm left, and 1.6mm ventral to bregma) under  
16 isoflurane anesthesia. At least two weeks later, animals were stereotaxically implanted with  
17 bipolar, twisted wire, depth electrodes (PlasticsOne) and optical fibers (0.37NA, 200µm or  
18 400µm diameter, ThorLabs) terminated in 1.25mm ceramic ferrules (Kientec Systems, Inc.).  
19 Hippocampal optrodes (electrode+optical fiber) were implanted ipsilateral to kainate injection  
20 (posterior 2.6mm, left 1.75mm, ventral 1.4mm with respect to bregma). The hippocampal  
21 electroencephalogram (EEG) signal was derived from this single twisted wire electrode (i.e.,  
22 local hippocampus reference). Cerebellar optical fibers were targeted to the cerebellar surface  
23 5.7mm posterior to bregma, at the midline (0mm lateral), 2.3mm left, and 2.3 right, or to the

1 medial DCN at posterior 6.5mm, lateral 0.6mm, and ventral 2mm. A subset of animals  
2 additionally had a cerebellar bipolar electrode to record simultaneously the cerebellar EEG  
3 signal. As with hippocampal EEG recordings, the cerebellar EEG signal was derived from this  
4 single twisted wire electrode (i.e., local cerebellar reference). Implants were fixed to the skull  
5 using screws (McMaster-Carr) and dental cement (Teets Cold Curing), and the animals were  
6 allowed to recover for several days before beginning 24 hour video and EEG (vEEG) monitoring  
7 for seizures and subsequent closed-loop seizure detection and light delivery (described below).  
8 On average, animals were implanted  $18.6 \pm 1.8$  weeks after KA injection (range: 2 to 66 weeks)  
9 and the effect of light on seizures was examined starting  $23 \pm 2.6$  weeks after KA injection. There  
10 was no correlation between seizure duration reduction and time since kainate ( $p=0.99$ , Spearman  
11 test).

12

### 13 **Closed-loop seizure detection and light delivery**

14 For vEEG monitoring and on-demand optogenetic intervention, animals were connected  
15 via optical patch cords (Thorlabs, Doric Lenses; terminated in a 1.25mm ferrule which was  
16 connected to the implanted optical fiber with a ceramic split sleeve (Precision Fiber Products,  
17 Inc.)) connected through an optical commutator (Doric lenses) to a fiber-coupled diode laser  
18 (Shanghai Laser & Optics Century Co., Ltd) of an appropriate wavelength to activate the opsin  
19 expressed (ChR2: blue = 473nm; HR: amber = 589nm), and through an electrical commutator  
20 (PlasticsOne) to an analog Brownlee 410 amplifier (Armstrong et al., 2013, Krook-Magnuson et  
21 al., 2013). EEG signals were digitized by an NI USB-6221-BNC digitizer (National  
22 Instruments) sampled at 500-1000Hz, and analyzed in real-time by a PC running custom  
23 MATLAB seizure detection software.



1           On-line seizure detection was achieved with this custom MATLAB software, a version of  
2 which is available for download (Armstrong et al., 2013). Briefly, an experimenter identifies  
3 features of the early ictal electrographic signal to be used in triggering the real-time closed-loop  
4 seizure detection software and tunes the detector to achieve appropriate seizure detection. The  
5 experimenter selects from a number of different inclusion or exclusion criteria and adjusts  
6 thresholds to optimally detect the seizures with maximum sensitivity and specificity. The  
7 following features can be used alone or in combination to tune the seizure detection algorithm 1)  
8 signal power properties (magnitude, rate of change), 2) spike features (amplitude, width, rate,  
9 regularity), 3) signal coastline, and 4) frequency properties (changes in energy within specific  
10 frequency bands). Note that a simple spike detection algorithm would be insufficient in this  
11 model of epilepsy. As previously described, this real-time seizure detection method, once  
12 properly tuned, is able to achieve low false positive and false negative rates (Armstrong et al.,  
13 2013, Krook-Magnuson et al., 2013).

14           Once tuned, the custom closed-loop MATLAB software detects seizures in real-time, and  
15 triggers the delivery of laser light for 50% of events in a random fashion, allowing each animal  
16 to serve as its own internal control (in addition to opsin-negative littermate controls).  
17 Intervention consisted of 3s of pulsed light delivery. Both short light pulses (50ms on, 100ms  
18 off) and longer pulses (1000s on, 50ms off) were investigated.

19

## 20 **Juxtacellular recordings**

21           Craniotomies (~1mm in diameter) were placed above the left hippocampus (ipsilateral to  
22 previous kainate injection; posterior 2.6mm, lateral 1.75mm), the left cerebellum (posterior 5.7-  
23 6mm, lateral 2.3mm), and the vermis (posterior 5.7-6mm, midline) while the mouse was under

1 isoflurane anesthesia. Mice were then transferred to an 8-inch Styrofoam ball levitated by  
2 pressurized air and used as a spherical treadmill (Varga et al., 2012). Mice were allowed to  
3 recover for a minimum of one hour before electrophysiological recordings began. During  
4 recordings, mice were freely running or resting on the treadmill. During periods of rest, the mice  
5 adjusted their posture, had their eyes open, and occasionally groomed.

6 Borosilicate glass long-tapered electrodes (Sutter Instruments) were pulled using a  
7 micropipette puller (Sutter P1000). One electrode was filled with 0.5M NaCl and lowered into  
8 the hippocampus (1100-1400 $\mu$ m below the dura) to record the hippocampal field potential  
9 (referred to in the text as the hippocampal EEG). A second electrode (filled with 0.5M Na-  
10 acetate, 12-20 M $\Omega$ ) was lowered into either the lateral cerebellum or vermis and was used to  
11 record juxtacellularly from cerebellar Purkinje neurons (identified by the presence of complex  
12 spikes). Recordings were performed using an ELC-03XS universal amplifier (NPI Electronics).  
13 Both recorded channels were low-pass filtered at 5kHz and digitized at 20kHz using NIDAQ  
14 data acquisition cards (National Instruments) and the data was recorded using custom made  
15 recording software written in MATLAB (Varga et al., 2012). The animal's movement was  
16 continuously video recorded, and video recordings were synchronized with electrophysiological  
17 recordings.

18

### 19 **Slice electrophysiology**

20 Whole-cell patch-clamp recordings were made from 300 $\mu$ m thick cerebellar slices  
21 prepared in ice-cold sucrose solution contained the following (in mM): 85 NaCl, 75 Sucrose, 2.5  
22 KCl, 25 Glucose, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 4 MgCl<sub>2</sub>, 0.5 CaCl<sub>2</sub>, and 24 NaHCO<sub>3</sub>. Recordings were done  
23 at 36°C using artificial cerebrospinal fluid containing (in mM) 2.5 KCl, 10 Glucose, 126 NaCl,

1 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2 MgCl<sub>2</sub>, 2 CaCl, 26 NaHCO<sub>3</sub>; with intracellular solution containing (in mM)  
2 126 potassium gluconate, 4 KCl, 10 HEPES, 4 Mg-ATP, 0.3 Na<sub>2</sub>-GTP, 10 phosphocreatine, 8  
3 biocytin; pH 7.2; 270–290 mOsm; pipette resistance: 3–4.5 MΩ. Slices were visualized with an  
4 upright microscope (Eclipse FN-1; Nikon) with infrared (750 nm) Nomarski differential  
5 interference contrast optics (Nikon 40× NIR (near infrared) Apo N2 NA0.8W WD3.5 objective  
6 with 1.5× magnification lens). Light was delivered through the epifluorescence port of the Nikon  
7 Eclipse FN-1, using a Lambda DG-4 with smart shutter and LAMDA SC controller (Shutter  
8 Instruments), and TTL input from a Digidata 1322A (Axon Instruments). After the recordings,  
9 slices were fixed in 0.1 M phosphate buffer containing 4% paraformaldehyde and 0.1% picric  
10 acid at 4°C. Streptavidin conjugated to Alexa-594 was used to detect biocytin and visualize  
11 recorded cells. Large cells in the Purkinje cell layer were targeted for recordings, and all cells  
12 included in the analysis were recovered for post-hoc analysis and showed Purkinje cell  
13 morphology.

14

## 15 **Statistical analysis**

16 Seizure duration after the time of the trigger and time to next seizure were analyzed off-  
17 line by reviewers blinded to the light condition and genotype of the animal. On average, 100±1  
18 events were analyzed per animal per condition. Comparisons between light and no light  
19 conditions for post-detection seizure duration and time to next seizure were compared in each  
20 animal using two-sample Kolmogorov-Smirnov and two-tailed Mann-Whitney tests. Effects on  
21 post-detection seizure duration and time to next seizure (light versus no light) were examined at  
22 the group level using Wilcoxon Signed Ranks Test. Comparison between opsin-expressing  
23 animals and opsin-negative littermates for percent post-detection seizure duration reduction with

1 light, and for percent increase in median time to next seizure with light, was done with a two-  
2 tailed Mann-Whitney test. Comparison of percent duration reduction and comparisons of  
3 increases in time to next seizure between conditions (Factor A: light location; Factor B: opsin  
4 expression) was made using a two-way ANOVA. Data recorded from head-fixed animals was  
5 analyzed using custom-written routines in MATLAB using the Signal Processing Toolbox. The  
6 juxtacellular recorded signal was high-pass filtered at 400Hz, and single unit spikes were  
7 detected after crossing a threshold manually set by the experimenter (typically between 200 and  
8 400  $\mu$ V). Superimposing all detected spikes revealed single units in all cases. The firing rate  
9 was determined in 100ms long windows throughout the recording, and firing rates during ictal  
10 and interictal periods were compared using Mann-Whitney tests.

11 Values presented are mean  $\pm$  standard error (s.e.m.). A p value  $<0.05$  was considered  
12 significant. Statistical analysis was done using Microsoft Excel 2007, OriginPro 8 and 9,  
13 MATLAB, and Google documents. To estimate the power (**Statistical Table**) of the Mann-  
14 Whitney and the Kolmogorov-Smirnov tests for effects on seizure duration at the individual  
15 animal level, we used a sample size of 100 events (50% receiving intervention, similar to our  
16 actual data sets), a desired detectable difference of a 20% reduction in mean post-detection  
17 seizure duration, and assumed gamma distributions for the underlying data. Estimates of the  
18 parameters for the gamma distributions for seizure durations of events receiving light and not  
19 receiving light were based on a data set showing approximately a 20% reduction in duration with  
20 intervention. Shape (k) was estimated as the mean squared divided by the variance, and spread  
21 ( $\theta$ ) was estimated as variance divided by the mean. The power was then estimated by  
22 simulation, using 10,000 randomly generated data sets with the desired sample size and  
23 distribution. The power for Mann-Whitney and Kolmogorov-Smirnov tests for time to next

1 seizure at the individual animal level, as well as changes in firing for juxtacellular recordings,  
2 was estimated in a similar manner. Power values for two-way ANOVAs are post-hoc values,  
3 using the actual data sets tested, and should be interpreted as such. For those with a low power  
4 (e.g., due to little or no underlying difference), the power based on a sample size of 200 animals  
5 is also included in the **Statistical Table** for reference. Power for group level statistics for Mann-  
6 Whitney and Wilcoxon tests were estimated via simulation, using 10,000 randomly generated  
7 data sets using Gaussian distributions, a desired detectable difference of 30%, our typical group  
8 sample size of nine, and a typical standard deviation for these data sets (20%).

## 1 **Results**

### 2 **Lateral cerebellar intervention in PV-ChR2 animals**

3 In order to gain optogenetic control over cerebellar neurons, animals expressing Cre in  
4 parvalbumin-expressing neurons (“PV-Cre”), including cerebellar Purkinje cells (**Fig. 1a**), were  
5 crossed with mice expressing the excitatory opsin channelrhodopsin (ChR2) (Boyden et al.,  
6 2005, Madisen et al., 2012) in a Cre-dependent fashion (Madisen et al., 2012), as detailed in the  
7 **Methods** section above. Whole-cell patch clamp recordings from cerebellar slices from PV-  
8 ChR2 mice revealed strong light-induced excitatory currents ( $1,580 \pm 140$  pA, n=14 Purkinje  
9 cells), which were capable of driving Purkinje cells to fire action potentials (**Fig. 1b**). No  
10 currents or depolarization were induced in cells recorded from opsin-negative animals (**Fig. 1b**).

11 To examine the effect of cerebellar-directed on-demand optogenetic intervention on  
12 temporal lobe seizures, the unilateral intrahippocampal kainate mouse model of temporal lobe  
13 epilepsy was used. During the chronic phase of the disorder, spontaneous seizures were recorded  
14 from the hippocampus, and custom-designed software provided on-line seizure detection and  
15 closed-loop optogenetic intervention (Armstrong et al., 2013, Krook-Magnuson et al., 2013)  
16 (**Fig. 1c,d**).

17 Delivery of 3s of short pulses of light (473nm; 50ms on, 100ms off) to the lateral  
18 cerebellar cortex (lobulus simplex) ipsilateral or contralateral to the site of prior  
19 intrahippocampal kainate injection produced a significant reduction in seizure duration  
20 (ipsilateral:  $33 \pm 9\%$  seizure duration reduction, n=12 animals; **Fig. 1e & f**; contralateral:  $30 \pm 15\%$   
21 reduction; n=6 animals; **Fig. 1g**; ipsilateral versus contralateral: p=0.12; opsin-expressing versus  
22 opsin-negative littermate controls: p<0.05; interaction: p=0.21; two-way ANOVA<sup>a</sup>, subscript

1 refers to line in the Statistical Table; **Fig. 1i**). There was no significant change in time to next  
2 seizure with light application for either light location (ipsilateral:  $18 \pm 10\%$  increase in median  
3 time to next seizure; contralateral:  $24 \pm 13\%$  increase; opsin expressing versus opsin negative:  
4  $p=0.36$ ; ipsilateral versus contralateral:  $p=0.21$ ; interaction:  $p=0.45$ ; two-way ANOVA <sup>b</sup>),  
5 indicating the absence of a rebound effect, or a long-lasting inhibitory effect on seizures with  
6 lateral cerebellar light delivery.

7 We next examined whether the frequency of light delivery had an effect on seizure  
8 control by using 3s of pulsed light with longer pulses of light (“long”: 1000ms on, 50ms off,  
9 rather than the “short” generally used: 50ms on, 100ms off). This intervention also inhibited  
10 seizures (ipsilateral:  $32 \pm 10\%$  duration reduction; contralateral:  $35 \pm 10\%$  duration reduction;  
11 ipsilateral versus contralateral:  $p=0.14$ ; opsin-expressing versus opsin-negative littermate  
12 controls:  $p<0.05$ ; interaction:  $p=0.27$ ; two-way ANOVA <sup>c</sup>; **Fig. 1i**). Indeed, long pulses were as  
13 efficacious at inhibiting seizure duration as short pulses ( $32 \pm 10\%$  versus  $33 \pm 9\%$  and  $35 \pm 10\%$   
14 versus  $30 \pm 15\%$ , as discussed above; location:  $p=0.98$ ; pulse length:  $p=0.87$ ; interaction:  $p=0.78$ ;  
15 two-way ANOVA <sup>d</sup>), indicating that the frequency of light pulses is not a critical factor for  
16 inhibiting seizure duration.

17 Taken together, these data indicate that inhibition of seizure duration is achievable by  
18 activating PV-expressing neurons, including Purkinje cells, of either lateral cerebellar  
19 hemisphere.

20

21 **Hippocampal intervention in PV-ChR2 animals**

1           It has been reported that on-demand activation of hippocampal PV-expressing  
2 interneurons can inhibit seizure duration (Krook-Magnuson et al., 2013). We therefore also  
3 examined hippocampal directed intervention in order to directly compare cerebellar to  
4 hippocampal intervention. Similar levels of reduction of seizure duration were achieved with  
5 these two intervention strategies (3s of short pulses, hippocampal intervention:  $35\pm 5\%$  duration  
6 reduction,  $p < 0.001$ , Wilcoxon test <sup>i</sup>,  $n=14$  animals; versus opsin-negative controls  $p < 0.001$ ,  
7 Mann-Whitney <sup>j</sup>; hippocampal ( $35\pm 5\%$ ) vs lateral cerebellar ( $32\pm 7\%$ ) intervention:  $p=0.75$ ,  
8 Mann-Whitney <sup>j</sup>). Intervention targeting the hippocampus with 3s of long light pulses also  
9 reduced seizure duration ( $50\pm 9\%$  duration reduction,  $p < 0.05$  Wilcoxon test <sup>i</sup>). Hippocampal  
10 directed intervention did not affect time to next seizure (short pulses:  $p=0.19$ , Wilcoxon <sup>i</sup>; long  
11 pulses:  $p=0.19$ , Wilcoxon test <sup>i</sup>), matching previous reports (Krook-Magnuson et al., 2013).

12

### 13 **Lateral cerebellar intervention in PV-HR animals**

14           We next asked if on-demand cerebellar intervention required activation of Purkinje cells  
15 per se. That is, can disruption of cerebellar activity in either direction inhibit temporal lobe  
16 seizures, or is excitation required? Could inhibition of Purkinje cells actually worsen seizures?  
17 To address this, we crossed PV-Cre animals with mice expressing the inhibitory opsin  
18 halorhodopsin (HR) (Gradinaru et al., 2010, Madisen et al., 2012) in a Cre-dependent manner  
19 (Madisen et al., 2012). Light application to cerebellar slices from PV-HR animals produced  
20 robust inhibitory currents in recorded Purkinje cells ( $1090\pm 100$ pA,  $n=8$  Purkinje cells). This  
21 inhibition was sufficient to inhibit action potential firing induced by depolarizing current



1 injection (**Fig 2a**). No current or change in membrane potential was induced by light delivery to  
2 slices from opsin-negative animals (n=9 Purkinje cells).

3 We then tested the effect of on-demand light delivery *in vivo* on spontaneous seizures, as  
4 previously done with PV-ChR2 animals. Surprisingly, 3s of on-demand cerebellar light (589nm)  
5 delivery in PV-HR animals also reduced seizure duration. This was true for both short light  
6 pulses (ipsilateral cerebellum: 32±13% duration reduction; contralateral cerebellum: 33±11%  
7 duration reduction; opsin expressing versus opsin negative: p<0.05; location: p=0.90; interaction:  
8 p=0.87, two-way ANOVA <sup>k</sup>) and long light pulses (ipsilateral cerebellum: 37±12% duration  
9 reduction; contralateral cerebellum: 44±12% duration reduction; opsin expressing versus opsin  
10 negative: p<0.01; location: p=0.45; interaction: p=0.77, two-way ANOVA <sup>l</sup>). We next asked if  
11 there was an effect on time to next seizure with lateral cerebellar targeted intervention in PV-HR  
12 animals. Similar to intervention in PV-ChR2 animals, short pulses of light targeting the lateral  
13 cerebellum had no effect on time to next seizure (ipsilateral: p=1, Wilcoxon <sup>i</sup>; contralateral:  
14 p=0.64, Wilcoxon <sup>i</sup>). These data indicate that inhibition of seizure duration is achievable with  
15 long or short pulses targeting either lateral cerebellar hemisphere in PV-HR animals. Therefore,  
16 for cerebellar directed intervention, the direction of modulation is not a critical factor for  
17 inhibition of seizure duration.

18

### 19 **Hippocampal intervention in PV-HR animals**

20 Given these findings with lateral cerebellar intervention, we next asked if the same would  
21 hold for hippocampal directed intervention. While PV-expressing neurons in the cerebellum  
22 include the large projection neurons of the cerebellar cortex (i.e., Purkinje cells), in the

1 hippocampus PV is not expressed in principal cells. Therefore, inhibition of PV expressing  
2 neurons in the two locations have different effects on the respective networks, and may have  
3 different effects on seizure duration. Indeed, we found that on-demand optogenetic inhibition of  
4 PV neurons in the hippocampus did not affect seizure duration, regardless of pulse duration  
5 (opsin-expressing versus opsin negative, short pulses:  $p=0.73$ , Mann-Whitney <sup>j</sup>; long pulses:  
6  $p=0.70$ , Mann-Whitney <sup>j</sup>; **Fig. 2f**). There was also no effect on time to next seizure (light versus  
7 no light, short pulses:  $p=0.50$ , Wilcoxon <sup>i</sup>; light versus no light, long pulses:  $p=1$ ; Wilcoxon <sup>i</sup>).  
8 Note that intervention was of limited duration (3s of pulsed light). This indicates that whether  
9 the direction of modulation (i.e., excitation or inhibition) is a critical factor in inhibiting seizure  
10 duration depends on the underlying circuitry (e.g., alteration of local inhibitory neurons versus  
11 altering activity of inhibitory output neurons at a distant site), with inhibition of seizure duration  
12 in PV-HR animals occurring with cerebellar, but not hippocampal, directed intervention.

13

#### 14 **Intervention directed to the midline cerebellum**

15 While interventions in which light was delivered to the hippocampus or lateral  
16 cerebellum did not consistently affect the frequency of seizures, when light (3s, short pulses) was  
17 instead delivered to the midline cerebellum (targeting the vermis) in PV-ChR2 animals, in  
18 addition to a reduction in seizure duration ( $39\pm 14\%$  duration reduction  $p<0.05$ , Wilcoxon <sup>i</sup>;  $n=7$   
19 animals; **Fig. 3a**), there was a significant and consistent prolongation of the interseizure interval  
20 ( $175\pm 64\%$  increase in time to next seizure;  $p<0.05$ , Wilcoxon <sup>i</sup>; at the individual animal level,  
21 significant in 6 of 7 animals,  $p<0.05$ , Mann-Whitney <sup>e</sup>), a therapeutically desirable outcome.  
22 This inhibition of seizure generation outlasted the duration of the applied light (**Fig. 3b**), and for

1 short pulses was unique to vermal intervention (**Fig. 3c**). Vermal directed intervention with long  
2 pulses also increased time to next seizure ( $363\pm 92\%$  increase;  $p < 0.05$  Wilcoxon <sup>i</sup>; at the  
3 individual animal level, significant in 6 of 7 animals,  $p < 0.05$ , Mann-Whitney <sup>e</sup>).

4 We next asked whether the effect on seizure frequency required excitation of PV cells per  
5 se, or whether disruption of vermal cerebellar activity alone could alter seizure frequency,  
6 similar to effects on seizure duration. We therefore examined the effect of midline cerebellar  
7 light delivery in PV-HR animals. Light delivery (589nm) produced no significant effect on time  
8 to next seizure, regardless of pulse duration (percent change time to next seizure, short pulses: -  
9  $10\pm 7\%$ ,  $p = 0.15$ , Wilcoxon <sup>i</sup>,  $n = 8$  animals; **Fig. 3c**; long pulses:  $-2\pm 15\%$ ;  $p = 0.64$ , Wilcoxon <sup>i</sup>).  
10 These findings indicate that a disruption of cerebellar activity alone is not sufficient to cause a  
11 decrease in seizure frequency. Rather, the direction of modulation (excitation), as well as the  
12 location of light delivery (vermis), are critical to achieve a consistent reduction in seizure  
13 frequency (**Fig. 3c**).

14 Purkinje neurons project to the deep cerebellar nuclei (DCN). In separate experiments,  
15 we therefore targeted light delivery (3s, short pulses) to the axon terminals of Purkinje neurons in  
16 the medial DCN (fastigial nucleus, which receives input from the medial cerebellar cortex).  
17 Targeting light delivery to the DCN in PV-ChR2 animals decreased seizure duration ( $27\pm 16.1\%$   
18 reduction) and, importantly, also increased time to next seizure ( $361\pm 110\%$  increase;  $p < 0.01$  in 5  
19 of 5 animals; Mann-Whitney <sup>e</sup>; versus midline cerebellar cortex:  $p = 0.19$ , Mann-Whitney <sup>j</sup>).

20

21 **Selective excitation of Purkinje cells**

1 Purkinje cells not only express PV (and thus the opsins in PV-ChR2 and PV-HR animals)  
2 but also are the sole output of the cerebellar cortex. Therefore, any intervention targeting the  
3 cerebellar cortex will ultimately have its effect through an alteration of Purkinje cell firing. Still,  
4 other neurons in the cerebellum express PV, and we therefore sought to replicate our key  
5 findings in a mouse line expressing opsins selectively in Purkinje cells. Therefore, we tested the  
6 effect of cerebellar intervention using B6.129-Tg(Pcp2-cre)2Mpin/J –ChR2 animals. While we  
7 replicated an effect on seizure duration (percent duration reduction ipsilateral: 91%,  
8  $p < 0.0000001$ ,  $n = 1$  animal, Kolmogorov-Smirnov<sup>h</sup> & Mann-Whitney<sup>g</sup>; contralateral: 82%,  
9  $p < 0.0000001$ ,  $n = 1$  animal, Kolmogorov-Smirnov<sup>h</sup> & Mann-Whitney<sup>g</sup>) and time to next seizure  
10 with vermal intervention (percent increase time to next vermis: 66%,  $p < 0.001$ ,  $n = 1$  animal,  
11 Mann-Whitney<sup>e</sup>; ipsilateral:  $p = 0.37$ , Mann-Whitney<sup>e</sup>; contralateral:  $p = 0.34$ , Mann-Whitney<sup>e</sup>),  
12 we found that expression was not selective. Crossing B6.129-Tg(Pcp2-cre)2Mpin/J with a  
13 tdTomato reporter strain revealed Cre expression in Purkinje cells, as well as in cerebellar  
14 molecular layer interneurons, deep cerebellar neurons, scattered cerebral cortex neurons, and  
15 even some hippocampal granule cells (data not shown).

16 We therefore obtained a second line (B6.Cg-Tg(Pcp2-cre)3555Jdhu/J – referred to here  
17 simply as “Pcp-Cre”), which we found to be highly specific (**Fig 4a**). We performed whole-cell  
18 patch clamp recordings from Purkinje neurons from cerebellar slices from Pcp-ChR2 animals.  
19 Light delivery produced strong excitatory currents ( $2,040 \pm 140$  pA,  $n = 6$  Purkinje cells), capable of  
20 inducing Purkinje cell firing (**Fig. 4b**). We then tested *in vivo* on-demand optogenetic  
21 intervention in Pcp-ChR2 animals. As in PV-ChR2 animals, cerebellar light delivery  
22 significantly reduced seizure duration (percent duration reduction ipsilateral:  $46 \pm 15\%$ ,  $n = 4$   
23 animals,  $p < 0.05$  in 4 of 4, Kolmogorov-Smirnov<sup>h</sup> & 3 of 4, Mann-Whitney<sup>g</sup>; contralateral:

1 49±9%, n=4 animals, p<0.05 in 4 of 4, Kolmogorov-Smirnov<sup>h</sup> & Mann-Whitney<sup>g</sup>; vermis:  
2 42±9%, n=6 animals; p<0.05 in 6 of 6, Kolmogorov-Smirnov<sup>h</sup> & Mann-Whitney<sup>g</sup> **Fig. 4c,e**).  
3 As opsins are not expressed in the hippocampus in Pcp-ChR2 animals, we predicted no change in  
4 seizure duration with hippocampal light delivery. Indeed, in Pcp-ChR2 animals hippocampal  
5 light delivery produced no significant change in seizure duration (p=0.15, Wilcoxon<sup>i</sup>; **Fig. 4d**;  
6 cerebellar versus hippocampal intervention, p<0.05, Mann-Whitney<sup>j</sup>). These data indicate that  
7 direct optogenetic modulation of cerebellar Purkinje cells alone is sufficient to reduce  
8 spontaneous temporal lobe seizure duration.

9 We next examined the effect on seizure frequency of midline cerebellar light delivery in  
10 animals with Purkinje cell selective ChR2 expression. Intervention targeting the vermis in Pcp-  
11 ChR2 animals was able to significantly increase the time to next seizure (long pulses: p<0.05 in  
12 4 of 5 animals, Kolmogorov-Smirnov<sup>f</sup> & Mann-Whitney<sup>e</sup>; **Fig. 4f**; short pulses: p<0.05 in 2 of 6  
13 animals, Kolmogorov-Smirnov<sup>f</sup> & Mann-Whitney<sup>e</sup>). These data therefore support that selective  
14 optogenetic excitation of cerebellar Purkinje neurons is capable of not only reducing seizure  
15 duration, but also of inhibiting seizure induction (i.e., increasing time to next seizure).

16

## 17 **Bidirectional functional connectivity**

18 The above data demonstrate that cerebellar activity can modulate hippocampal activity, in  
19 that cerebellar directed intervention can inhibit hippocampally recorded temporal lobe seizures.  
20 We additionally find that temporal lobe seizures can modulate cerebellar activity: in 17 of 24  
21 animals, temporal lobe seizures produced a change in cerebellar EEG (**Fig. 5a, b**). In a subset of  
22 these animals, we recorded from Purkinje neurons (identified by the presence of complex spikes)

1 using the juxtacellular method from awake, head-fixed animals (Varga et al., 2012). Temporal  
2 lobe seizures significantly modulated ( $p < 0.01$ , Mann-Whitney <sup>m</sup>) the firing of 7 of 21 recorded  
3 Purkinje cells (**Fig. 5c, d**). These findings indicate that not only can the cerebellum affect  
4 temporal lobe seizures, but that temporal lobe seizures can also affect cerebellar activity – that is,  
5 there is a bidirectional functional connectivity.

6

7

## 1 **Discussion**

2           We demonstrate that cerebellar directed intervention can inhibit temporal lobe seizures,  
3 that temporal lobe seizures can modulate cerebellar activity, and that excitation of midline  
4 cerebellar Purkinje neurons produces a decrease in seizure frequency (increase in time to next  
5 seizure). As this inhibition of seizure frequency was seen with only certain interventions, it  
6 reveals a fundamentally novel dissociation of an inhibition of on-going seizure events and an  
7 inhibition of seizure generation. Additionally, our findings are critically important from a  
8 clinical perspective, as they demonstrate that interventions targeting the cerebellum as a potential  
9 therapy for medically intractable epilepsies should be revisited.

10           While the cerebellum is presently often considered to be outside the realm of seizures and  
11 epilepsy, cerebellar tumors can result in seizures (Norden and Blumenfeld, 2002) and there are  
12 changes in cerebellar blood flow and unit activity during seizures (Gartside, 1979, Miller, 1992,  
13 Kandel and Buzsaki, 1993, Blumenfeld et al., 2009). Indeed, there was considerable interest in  
14 the cerebellum in seizures in the 70s, but results of studies examining electrical stimulation of the  
15 cerebellum on seizures produced mixed results, and interest waned (Miller, 1992, Fountas et al.,  
16 2010). Results of these early studies may have been mixed as they relied on electrical  
17 stimulation, which is wrought with lack of specificity -- a hurdle which can be overcome with  
18 optogenetics.

19           We report that on-demand optogenetic intervention targeting the cerebellum – a region  
20 outside of the temporal lobe -- inhibited spontaneous temporal lobe seizures, and critically, that  
21 the site and direction of cerebellar intervention mattered, with consistent inhibition of seizure  
22 frequency only occurring with midline cerebellar excitation. We report in this study that there

1 was no increase in time to next seizure with optogenetic intervention targeting PV-expressing  
2 neurons in the hippocampus, and it has been previously reported that 30s of direct on-demand  
3 optogenetic inhibition of excitatory cells in the hippocampus also fails to increase time to next  
4 seizure (Krook-Magnuson et al., 2013). This highlights the unique and powerful role of the  
5 cerebellum in inhibiting temporal lobe seizures.

6         Although these findings could not be predicted from earlier on-demand optogenetic  
7 studies (Krook-Magnuson et al., 2013, Paz et al., 2013), they are generally in line with studies  
8 indicating that the cerebellum has powerful effects on upper brain centers beyond classical motor  
9 control in both health and disease (Ivry and Baldo, 1992, Buckner, 2013), including recent work  
10 demonstrating a role in hippocampal spatial navigation (Rocheffort et al., 2011) and disturbances  
11 in cognitive functions related to autism (Tsai et al., 2012). As in these recent studies, the precise  
12 circuits mediating the cerebellar effects on temporal lobe seizures are not yet understood.

13         Although plausible pathways for the robust, cerebellar-hippocampal seizure regulatory effects  
14 described here may involve the ventral lateral thalamus (Haroian et al., 1981, Angaut et al.,  
15 1985) (in the case of the lateral cerebellar modulation of seizure duration) and the broader  
16 modulatory actions associated with the reticular formation (Elisevich et al., 1985, Verveer et al.,  
17 1997) (in the case of prolongation of the time to next seizure following intervention targeting the  
18 vermis), it should be noted that the cerebellum has connections to numerous other brain areas  
19 that could also be involved, including the hypothalamus (Zhu et al., 2006, Soussi et al., 2014),  
20 superior colliculus (Gale et al., 1993, Katoh and Benedek, 2003), and septum (Harper and Heath,  
21 1973, Paul et al., 1973).

22         Given the prevalence of TLE, the major negative side effects of current treatment  
23 options, and the high percentage of patients with uncontrolled seizures, the need for new



- 1 therapeutic approaches is clear, and our data provide strong support for reevaluation of cerebellar
- 2 targeted intervention to control temporal lobe seizures.

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18

19

1 **Figure Legends**

2 **Figure 1. Lateral cerebellar intervention in PV-ChR2 mice.**

3 (a) In PV-Cre animals, Cre is expressed in cerebellar neurons, including Purkinje neurons.  
4 Layers indicated: M: molecular, P: Purkinje, G: granular. (b) Blue light produces action  
5 potentials in Purkinje neurons in PV-ChR2 animals (top) but not in opsin negative animals  
6 (bottom trace). (c) On-line detection of spontaneous temporal lobe seizures allows on-demand  
7 light delivery during the chronic phase of the disorder. (d) The EEG is recorded from the  
8 hippocampus ipsilateral to previous kainate injection (KA), and light is delivered to the  
9 cerebellum. (e) Example detected seizures not receiving light (top trace) and receiving cerebellar  
10 directed light-intervention (bottom). Gray bars denote seizure detection. Blue box denotes 3s of  
11 pulsed light delivery. In opsin-expressing PV-ChR2 animals, light delivered to the ipsilateral (f)  
12 or contralateral (g) cerebellum produces a significant reduction in seizure duration, but there is  
13 no effect of light in an opsin-negative animal (h). 3s of pulsed light delivery produces a  
14 significant reduction in seizure duration in opsin expressing animals with both short pulses  
15 (50ms on, 100ms off) or long pulses (1000ms on, 50ms off). Asterisks denote  $p < 0.05$ . Scale  
16 bars: (a) 200 $\mu$ m; (b) 50ms, 20mV; (e) 5s, 0.05mV.

17

18 **Figure 2. On-demand optogenetic intervention in PV-HR animals.**

19 (a) Crossing PV-Cre mice with mice expressing in a Cre-dependent manner the inhibitory opsin  
20 halorhodopsin (HR) produces mice expressing HR in PV-expressing neurons, including  
21 cerebellar Purkinje cells. Light delivery to cerebellar slices from PV-HR mice produces strong  
22 inhibition, capable of inhibiting Purkinje cell firing induced by direct current injected. *In vivo*

1 on-demand intervention in PV-HR animals directed to the cerebellar cortex ipsilateral (**b**) or  
2 contralateral (**c**) to previous hippocampal kainate injection reduced post-detection seizure  
3 duration in opsin-expressing, but not opsin negative (**d**) animals. (**e**) Both short and long light  
4 pulses were effective at stopping seizures. (**f**) In contrast, light delivery to the hippocampus in  
5 PV-HR animals did not affect seizure duration. “dur red”: duration reduction. Scale bars: (a)  
6 500ms, 20mV.

7

### 8 **Figure 3. Optogenetic intervention targeting the midline cerebellum.**

9 On-demand optogenetic intervention with 3s of pulsed light delivered to the midline cerebellum  
10 (vermis) in a PV-ChR2 mouse reduces seizure duration (**a**) and increases time to next seizure (**b**).  
11 Blue bars and traces: events receiving light. Gray: events not receiving light. Inset: Box and  
12 whisker plot indicating median, 25<sup>th</sup> & 75<sup>th</sup> percentiles, and 25<sup>th</sup> & 75<sup>th</sup> percentile  $\pm$  1.5 times the  
13 interquartile range. (**c**) With three seconds of short light pulses (50ms on, 100ms off), there is an  
14 increase in time to next seizure with vermal directed intervention in PV-ChR2 animals. CB:  
15 cerebellum; hipp: hippocampus; ipsilat or ipsi: ipsilateral to KA; contrlat: contralateral.

16

### 17 **Figure 4. On-demand optogenetic intervention with Purkinje cell specific expression.**

18 (**a**) In Pcp-Cre mice, cerebellar Cre is selectively expressed in Purkinje neurons. Cre expression  
19 (white) was visualized by crossing with a reporter strain. Bottom panel, blue: DAPI fluorescent  
20 stain. (**b**) Crossing Pcp-Cre mice with mice expressing ChR2 in a Cre-dependent manner  
21 produces mice with ChR2 expressed selectively in Purkinje neurons. Light delivery to cerebellar

1 slices from Pcp-ChR2 animals induces firing in Purkinje neurons. (c) *In vivo* on-demand  
2 optogenetic intervention targeting the lateral cerebellum produces an inhibition of seizure  
3 duration. (d) Light delivery to the hippocampus (which does not express opsin in Pcp-ChR2  
4 animals) does not inhibit seizures. Light delivery to the midline cerebellum is capable of  
5 reducing seizure duration (e) and increasing time to next seizure (f). Scale bars: (a, top) 500 $\mu$ m;  
6 (a, bottom) 50 $\mu$ m; (b) 100ms, 20mV.

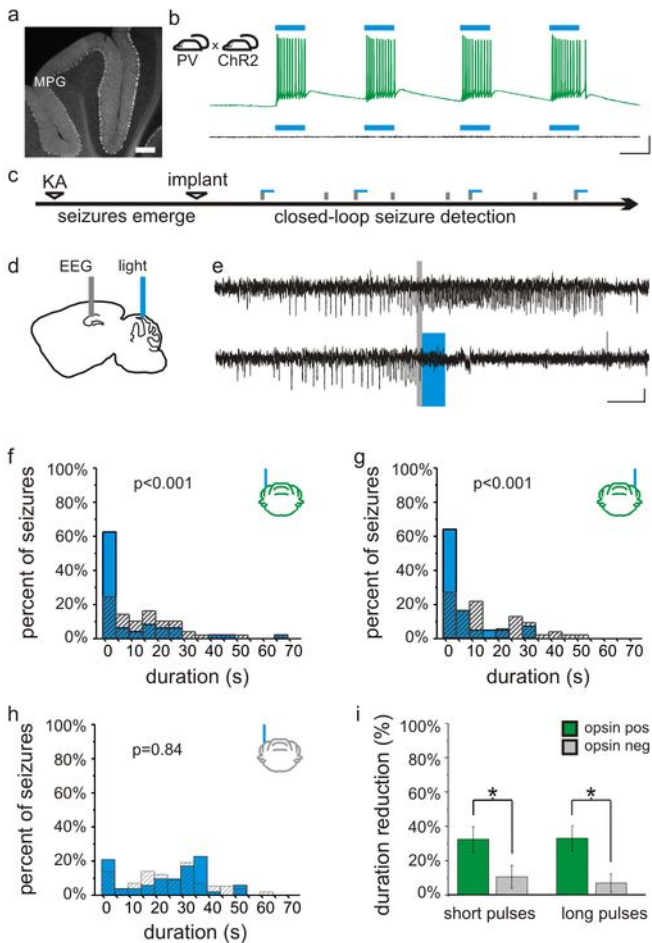
7

8 **Figure 5. Temporal lobe seizures modulate cerebellar activity.**

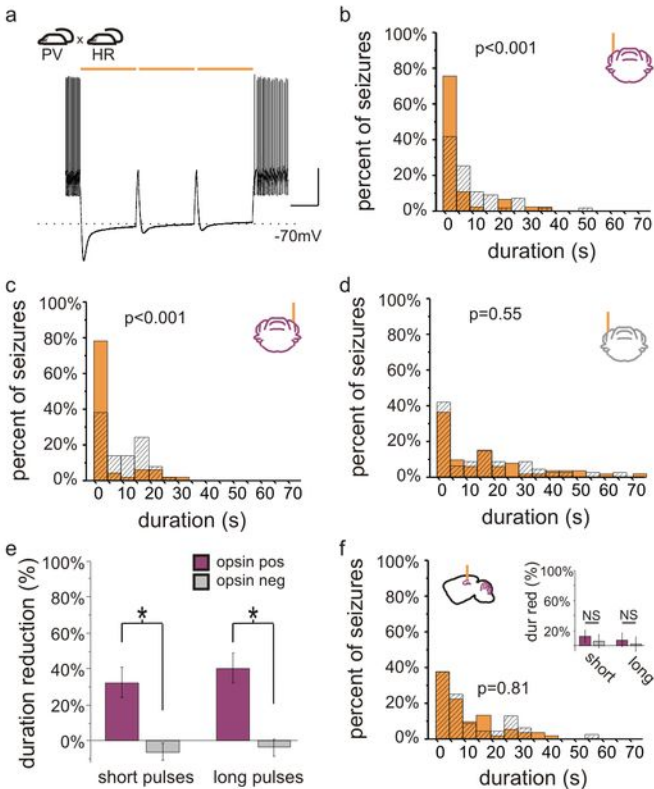
9 An example temporal lobe seizure recorded in the hippocampus (a) which produced changes in  
10 the cerebellar EEG (b) and in the firing rate of a juxtacellularly recorded Purkinje cell over the  
11 course of the seizure (c) as well as on a shorter time scale (d). Green trace denotes detected  
12 Purkinje cell spikes. Scale bars: 1mV (a) or 0.5mV (b), 10s (a-c); 0.5mV or 0.1kHz change in  
13 firing rate, 0.1s (d).

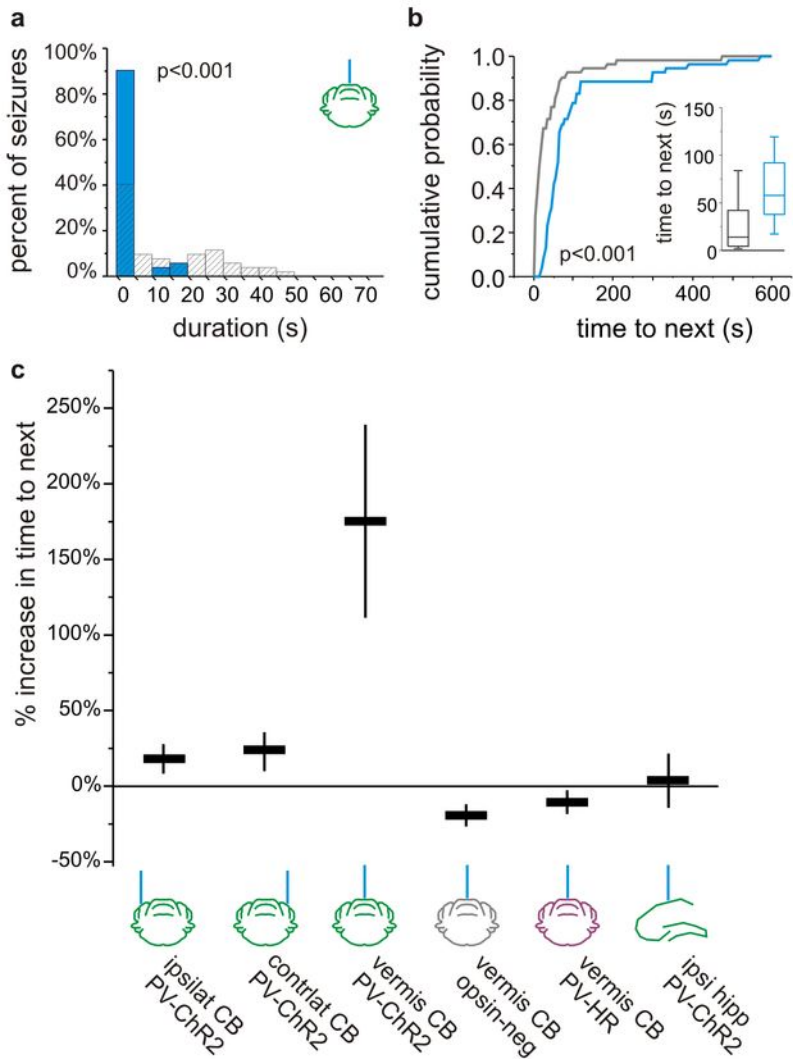


# Krook-Magnuson et al Figure 1

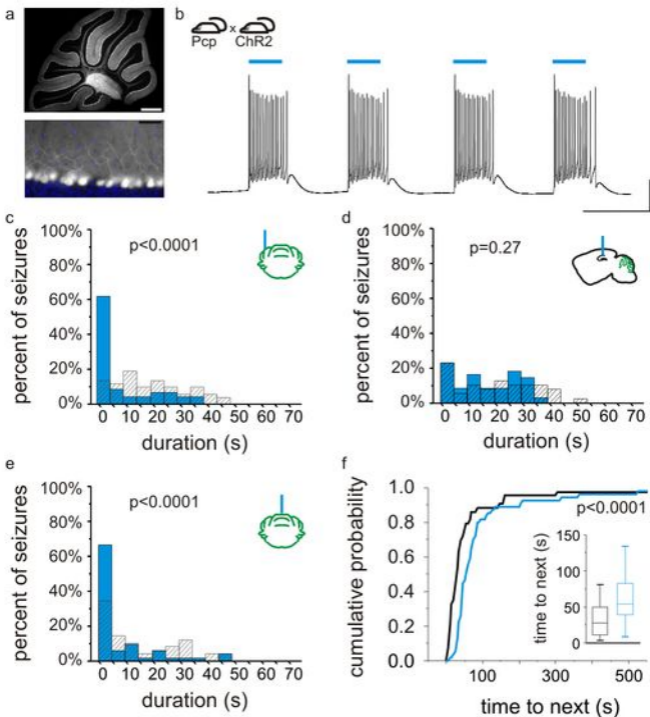


# Krook-Magnuson et al Figure 2

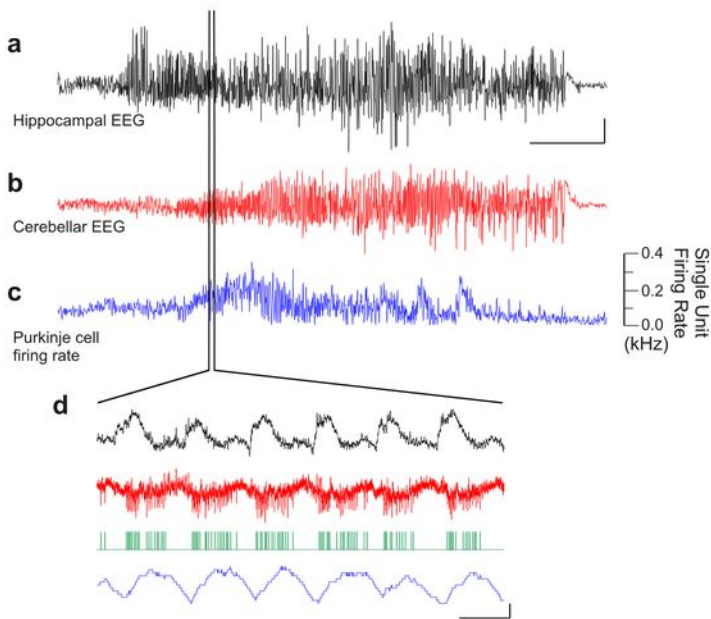




# Krook-Magnuson et al Figure 4



# Krook-Magnuson et al Figure 5



**Statistical Table.**

	<b>Data Structure</b>	<b>Type of Test</b>	<b>Power</b>	
a	multivariate	Two-way ANOVA	genotype	0.71
			location	0.33
			interaction	0.43
b	multivariate	Two-way ANOVA	genotype	0.15
			location	0.23
			interaction	0.18
c	multivariate	Two-way ANOVA	genotype	0.64
			location	0.31
			interaction	0.52
d	multivariate	Two-way ANOVA	location	0.05
			pulse type	0.05
			interaction	0.07
			Power with n=200	
				0.05
				0.06
				0.10
e	normality not assumed	Mann-Whitney	0.50	
f	normality not assumed	Kolmogorov-Smirnov	0.42	
g	normality not assumed	Mann-Whitney	0.82	
h	normality not assumed	Kolmogorov-Smirnov	0.89	
i	normality not assumed	Wilcoxon	0.96	
j	normality not assumed	Mann-Whitney	0.795	
k	multivariate	Two-way ANOVA	genotype	0.72
			location	0.05
			interaction	0.06
			Power with n=200	
				0.07
				0.08
l	multivariate	Two-way ANOVA	genotype	0.86
			location	0.11
			interaction	0.07
m	normality not assumed	Mann-Whitney	.99	